



**PATENT**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: PHILLIP D. PURDY

Confirmation No.: 4825

Serial No.: 09/905,670

Examiner: Unassigned

Filed: JULY 13, 2001

Group Art Unit: 3763

For: METHODS AND APPARATUSES FOR NAVIGATING THE  
SUBARACHNOID SPACE

Docket No.: 1001.1599102

**RECEIVED**

**TRANSMITTAL SHEET**

APR - 8 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TECHNOLOGY CENTER 3700

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 31<sup>st</sup> day of MARCH, 2004.

By 

J. Scot Wickhem

Dear Sir:

We are transmitting herewith the attached:

- Information Disclosure Statement under 37 C.F.R. §1.97(b)
- Form PTO-1449 and One (1) US reference is enclosed
- Postcard receipt
- Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413

By: 

J. Scot Wickhem, Reg. No. 41,376

CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, Minnesota 55403-2420  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349



P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: PHILLIP D. PURDY Confirmation No.: 4825  
Serial No.: 09/905,670 Examiner: Unassigned  
Filing Date: JULY 13, 2001 Group Art Unit: 3763  
Title: METHODS AND APPARATUSES FOR NAVIGATING THE SUBARACHNOID SPACE  
Docket No.: 1001.1599102 Customer No.: 28075

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

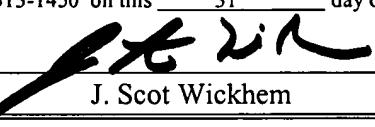
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By 

J. Scot Wickhem

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the items of information listed on the attached PTO-1449 is brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each foreign patent documents, non-published patent application, and non-patent literature listed on the enclosed Form PTO-1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a *prima facie* case of unpatentability of any claim of the present case.

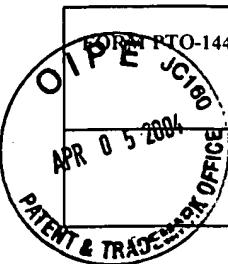
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Date: March 31, 2004

  
J. Scot Wickhem, Reg. No. 41,376

CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
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Telephone: (612) 677-9050  
Facsimile: (612) 359-9349



|  |  |                                   |                           |
|--|--|-----------------------------------|---------------------------|
| INFORMATION DISCLOSURE STATEMENT                       |  | Atty. Docket No.:<br>1001.1599102 | Serial No.:<br>09/905,670 |
|  |  | Applicant: PHILLIP D. PURDY       |                           |
| IN AN APPLICATION<br>(Use several sheets if necessary) |  | Confirmation No.: 4825            |                           |
|  |  | Filing Date: JULY 13, 2001        | Group Art: 3763           |

### U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NO. | DATE       | NAME   | CLASS | FILING DATE IF APPROPRIATE |
|------------------|--------------|------------|--------|-------|----------------------------|
|                  | 6,699,269    | 03/02/2004 | Khanna |       |                            |
|                  |              |            |        |       |                            |
|                  |              |            |        |       |                            |
|                  |              |            |        |       |                            |
|                  |              |            |        |       |                            |
|                  |              |            |        |       |                            |

### FOREIGN PATENT DOCUMENTS

|  | DOCUMENT NO. | DATE | COUNTRY | CLASS | TRANSLATION |    |
|--|--------------|------|---------|-------|-------------|----|
|  |              |      |         |       | YES         | NO |
|  |              |      |         |       |             |    |
|  |              |      |         |       |             |    |
|  |              |      |         |       |             |    |
|  |              |      |         |       |             |    |
|  |              |      |         |       |             |    |

### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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| EXAMINER   | DATE CONSIDERED |
|--|-----------------|
| EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant. |                 |